PART I - SECTION B SUPPLIES/SERVICES & PRICE/COST

B001. PRICES/COSTS: Furnish all labor, materials, equipment, transportation, insurance, notifications, licenses, permits, fees and supervision necessary to replace the chiller control panels on all 4 chillers at the Seattle Air Route Traffic Control Center (ARTCC) located in Auburn, Washington in accordance with the specifications, drawings, contract clauses, and wage rates.

| Contract Line Item | Description | Price |
|-----------------------|-----------------------------------|-----------|
| 001 | DIVISION 1 – GENERAL REQUIREMENTS | \$ |
| 002 | DIVISION 2 - SITEWORK | \$ |
| 003 | DIVISION 16 - ELECTRICAL | \$ |
| | | |
| GRAND TOTAL | CONTRACT LINE ITEMS 001 – 003 | \$ |

OFFERS SHALL INCLUDE ALL APPLICABLE STATE AND LOCAL TAXES. IN ADDITION, DAVIS BACON WAGE RATES APPLY.

All Contract Line Items shall encompass all costs related to (a) direct and indirect labor, fringe benefits, overhead, G&A expenses, profit, material, equipment, other direct costs, insurance, freight, handling, transportation, inspection, testing, operation and maintenance manuals, bonds, etc., (b) federal, state, and local taxes, (c) all applicable fees permits, licenses, and (d) any miscellaneous charges.

An offeror is required to provide a price for each contract line item (CLIN). Failure to comply may result in the rejection of the subject offer. A single award shall be made. There shall be no split award. In the event that the CLIN price for any line item is materially unbalanced, the entire offer may be rejected without discussion with the offeror. The Government may award any combination of line items subject to the availability of funds. Should funds become available at a later date the Government may award those line items without further competition.

In the event of any disparity between the CLIN price and the total offered price, the CLIN price shall be deemed correct, and the total offered amount shall be revised accordingly, unless available information indicates otherwise.

Effective April 1, 1996, the Federal Aviation Administration (FAA) began operating under the new FAA Acquisition Management System. The 1996 DOT Appropriation Act, Public Law 104-50, mandated that the FAA rewrite its acquisition regulations and granted legislative relief from certain laws. The Federal Acquisition Regulations (FAR), Federal Acquisition Streamlining Act of 1994, Small Business Act, and Competition in Contracting Act, are four of these laws.

B002. INSURANCE REQUIREMENTS: Worker's compensation and employer's liability.

- Employer's liability coverage of at least \$100,000.00 shall be required.
- General Liability. Bodily injury liability insurance coverage written on the comprehensive form of policy of at least \$500,000.00 per occurrence.

 Automobile liability coverage of at least \$200,000.00 per person and \$500,000 per occurrence for bodily injury and \$200,000.00 per occurrence for property damage

B003. Notification of Competition Limited to Eligible 8(a) SEDB Concerns See Contract clause: 3.6.1-8. The applicable North American Industry Classification System (NAICS) code(s) 238220. The Small Business Administration Size Standard for NAICS Code is \$14.0 million.

- 3.6.1-8 Notification of Competition Limited to Eligible SEDB Concerns (January 2010)
- (a) Offers are solicited only from "eligible socially and economically disadvantaged business (SEDB) concerns. As used herein, an "eligible SEDB" concern is a small business concern expressly certified by the Small Business Administration (SBA) for participation in the SBA's 8(a) program and which meets the following criteria at the time of submission of offer.
- (1) The offeror is in conformance with the 8(a) support limitation set forth in its approved business plan; and
- (2) The offeror is in conformance with the Business Activity Targets set forth in its approved business plan or any remedial action direct by the SBA.
- (b) By submission of its offer, the offeror certifies that it meets all of the criteria set forth in paragraph (a) of this clause.
- (c)(1) Agreement. A small business concern submitting an offer in its own name agrees to furnish, in performing the contract, only end items manufactured or produced by small business concerns in the United States or its outlying areas. However, this requirement does not apply in connection with construction or service contracts.
- (2) The Offeror will notify the FAA Contracting Officer in writing immediately upon entering an agreement (either oral or written) to transfer all or part of its stock or other ownership interest to any other party.

(End of clause)

B004. Site Visit: The site visit is <u>OPTIONAL</u>, however the FAA urges and expects offerors to inspect the site where the work will be performed

- a. The FAA facility escort has been instructed <u>not to answer</u> any questions about the project during the site visit, please <u>do not ask</u> them any. All questions from the site visit must be submitted in writing according to the RFO's instructions in Section B004 Solicitation Ouestions (above).
- b. To attend a site visit, advance notice by e-mail or telephone is required to the FAA POC identified below. You will be required to provide your company representative's name, title and cell phone number.

FAA POC: Mohit Nadiyadra Office: (425) 227-2351 E-mail: mohit.nadiyadra@faa.gov

Alternate Contact: Marc Nagel Office: (425)227-1554 E-Mail: marc.nagel@faa.gov

MAGNITUDE OF BASE CONSTRUCTION: \$100,000-\$250,000

APPLICABLE NAICS CODES: 238220

THIS IS A REQUEST FOR OFFERS, THUS NO FORMAL BID OPENING WILL OCCUR AND RESULTS WILL NOT BE AVAILABLE BY TELEPHONE. DO NOT CALL FOR RESULTS

PART I - SECTION C SCOPE OF WORK

See Attachment C

PART I - SECTION DPACKAGING AND MARKING

No clauses applicable to this section.

PART I - SECTION E INSPECTION AND ACCEPTANCE

3.1-1 Clauses and Provisions Incorporated by reference (July 2011)

This screening information request (SIR) or contract, as applicable, incorporates by reference the provisions or clauses listed below with the same force and effect as if they were given in full text. Upon request, the Contracting Officer will make the full text available, or offerors and contractors may obtain the full text via Internet at: http://conwrite.faa.gov.

| 3.10.4-1 | Contractor Inspection Requirements (April 1996) |
|-----------|--|
| 3.10.4-10 | Inspection of Construction (September 2009) |
| 3.10.4-11 | InspectionDismantling, Demolition, or Removal of Improvements (April 1996) |

PART I - SECTION F DELIVERIES OR PERFORMANCE

3.1-1 Clauses and Provisions Incorporated by reference (July 2011)

This screening information request (SIR) or contract, as applicable, incorporates by reference the provisions or clauses listed below with the same force and effect as if they were given in full text. Upon request, the Contracting Officer will make the full text available, or offerors and contractors may obtain the full text via Internet at: http://conwrite.faa.gov.

| 3.2.2.3-42 | Differing Site Conditions (July 2004) |
|------------|---|
| 3.2.2.3-43 | Site Investigation and Conditions Affecting the Work (July 2004) |
| 3.2.2.3-45 | Material and Workmanship (July 2004) |
| 3.2.2.3-46 | Supervising the Contract Work (July 2004) |
| 3.2.2.3-47 | Permits and Responsibilities (July 2004) |
| 3.2.2.3-48 | Other Contracts (February 2009) |
| 3.2.2.3-49 | Protecting Existing Vegetation, Structures, Equipment, Utilities, and |
| | Improvements (July 2004) |
| 3.2.2.3-50 | Property Protection (February 2009) |
| 3.2.2.3-51 | Operations and Storage Areas (July 2004) |
| 3.2.2.3-52 | Use and Possession Before the Project is Complete (July 2004) |
| 3.2.2.3-60 | Specifications, Drawings, and Material Offers (February 2009) |
| 3.2.2.3-66 | Contractor's Daily Log (July 2004) |
| 3.2.2.3-67 | Special Precautions for Work at Operating Airports (July 2004) |
| 3.2.2.3-68 | Safety and Health (July 2004) |
| | |

3.2.2.3-41 Performing Work (July 2004)

The Contractor (you) must perform, using your own organization, work equivalent to at least 15% of the total amount of work under the contract on the site. The CO may modify this contract to reduce this percentage if you request a reduction and the CO determines that it would be to the Government's advantage to do so.

(End of clause)

3.2.2.3-71 Starting, Performing and Completing Work (July 2004)

The Contractor (you) must

- (a) begin work under this contract within $\underline{5}$ calendar days after the date you receive the notice to proceed,
- (b) perform the work diligently, and
- (c) complete the entire work ready for use not later than **45 calendar days after official notice to proceed for construction**. The time allowed for completion must include final cleanup of the premises. (End of clause)

PART I - SECTION G CONTRACT ADMINISTRATION DATA

3.1-1 Clauses and Provisions Incorporated by reference (July 2011)

This screening information request (SIR) or contract, as applicable, incorporates by reference the provisions or clauses listed below with the same force and effect as if they were given in full text. Upon request, the Contracting Officer will make the full text available, or offerors and contractors may obtain the full text via Internet at: http://conwrite.faa.gov.

(End of clause)

3.10.1-23 Contracting Officer's Representative-Construction Contracts (April 2012)

- (a) The Contracting Officer may appoint other Government personnel to accomplish certain contract administration matters. While there shall be various titles and divisions of duties for these individuals, generically they are known as Contracting Officer's Representatives (CORs). The Contracting Officer will provide written notice of COR appointment(s), setting forth the authorities and limitations, to the Contractor within 7 calendar days prior to the notice to proceed. COR duties may include, but are not limited to:
- (1) Perform as the authorized representative of the Contracting Officer for technical matters, including interpretation of specifications and drawings, and inspection and review of work performed.
- (2) Perform as the authorized representative of the Contracting Officer for administrative matters, including reviewing payments, and updated delivery schedules.
- (b) These representatives are authorized to act for the Contracting Officer in all specifically delegated matters pertaining to the contract, except:
- (1) contract modifications that change the contract price or cost, technical requirements or time for performance, unless delegated field change order authority;
- (2) suspension or termination of the Contractor's right to proceed, either for default or for convenience;
- (3) final decisions on any matters subject to appeal, e.g., disputes under the "Contract Disputes" clause; and
- (4) final acceptance under the contract.

(End of clause)

G001. Correspondence: Proper routing and distribution of correspondence is required to insure that the Contracting Officer has knowledge of pertinent action taken relating to the contract. The Contractor shall furnish to the Contracting Officer copies of **ALL** written correspondence provided to the COTR including transmittal letters. Submittals accompanying transmittal letters to the COTR **SHALL NOT** be forwarded to the Contracting Officer. A copy of all correspondence directed to the Contracting Officer shall be sent to the COTR.

DOT, FEDERAL AVIATION ADMINISTRATION ACQUISITION MANAGEMENT GROUP – AAQ-530 ATTN: MATTHEW BENBOW 1601 LIND AVE SW RENTON, WA 98057-3356

G002. <u>Invoice Submission</u>: The Contractor shall submit certified request for payment(s) to the COTR for verification who will forward to the Contracting Officer.

G003. <u>Subcontract Acknowledgment:</u> Within ten (10) days after contract award of any tier subcontract for performance or work at the construction site, the contractor shall deliver to the Contracting Officer an executed SF 1413, Statement and Acknowledgment form by which the contractor and the subcontractor acknowledges that the labor standards clauses of this contract have been included in the subcontract and in any lower tier subcontract awarded by her for work under this contract. The Contracting Officer shall furnish copies of the form to the contractor. Nothing contained in this contract shall create any contractual relation between a subcontractor and the Government.

PART I - SECTION HSPECIAL CONTRACT REQUIREMENTS

3.1-1 Clauses and Provisions Incorporated by reference (July 2011)

This screening information request (SIR) or contract, as applicable, incorporates by reference the provisions or clauses listed below with the same force and effect as if they were given in full text. Upon request, the Contracting Officer will make the full text available, or offerors and contractors may obtain the full text via Internet at: http://conwrite.faa.gov.

(End of clause)

3.8.2-17 Key Personnel and Facilities (July 1996)

- (a) The personnel and/or facilities as specified below are considered essential to the work being performed hereunder and may, with the consent of the contracting parties, be changed from time to time during the course of the contract.
- (b) Prior to removing, replacing, or diverting any of the specified personnel and/or facilities, the Contractor shall notify in writing, and receive consent from, the Contracting Officer reasonably in advance of the action and shall submit justification (including proposed substitutions) in sufficient detail to permit evaluation of the impact on this contract.
- (c) No diversion shall be made by the Contractor without the written consent of the Contracting Officer.
- (d) The key personnel and/or facilities under this contract are:

Project Manager and Site Superintendent

(End of clause)

H001. Applicable Minimum Hourly Rates Of Wages

- (a) The wage determination decision of the Secretary of Labor in this contract specifies the minimum hourly rates of wages which shall be paid to laborers and mechanics employed or working upon the site of the work. These rates have been determined by the Secretary of Labor in accordance with the provisions of the Davis-Bacon Act, as amended, to be the prevailing rates for the corresponding classes of laborers and mechanics employed on contracts of a similar character in the locality where this work is to be performed.
- (b) While the wage rates given in the decision are the minimum rates required to be paid during the life of the contract, it is the responsibility of bidders to inform themselves as to local labor conditions such as the prevailing wage rates, the length of the work day and work week, overtime compensation fringe benefit payments, available labor supply, and prospective changes or adjustment to wage rates. The contractor shall abide by and conform to all applicable laws, Executive Orders, and rules, regulations and orders of the Secretary of Labor. No increase in the contract price shall be allowed or authorized on account of the payment of wage rates in excess of those listed in the contract wage determination decision.
- (c) The wage determination decision of the Secretary of Labor in the contract is solely for the purpose of setting forth the minimum hourly wage rates required to be paid during the life of the contract is not to be accepted as a guarantee, warranty or representation as the wage rates indicated.

NOTE: The Davis Bacon Act is applicable (see contract clauses). Reference the attached general wage decision no. **WA120036**, for the prevailing wages for **King County** in the state of **Washington**. This wage decision is hereby incorporated into this request for offer (RFO) and will be a part of any resultant contract.

PART II - SECTION I CONTRACT CLAUSES

3.1-1 Clauses and Provisions Incorporated by reference (July 2011)

This screening information request (SIR) or contract, as applicable, incorporates by reference the provisions or clauses listed below with the same force and effect as if they were given in full text. Upon request, the Contracting Officer will make the full text available, or offerors and contractors may obtain the full text via Internet at: http://conwrite.faa.gov.

| 3.1.7-1 | Exclusion from Future Agency Contracts (August 1997) |
|------------|--|
| 3.1.7-2 | Organizational Conflicts of Interest (August 1997) |
| 3.1.7-4 | Organizational Conflict of Interest (April 2012) |
| 3.1.7-5 | Disclosure of Conflicts of Interest (February 2009) |
| 3.2.2.3-33 | Order of Precedence (February 2009) |
| 3.2.2.3-42 | Differing Site Conditions (July 2004) |
| 3.2.2.3-43 | Site Investigation and Conditions Affecting the Work (July 2004) |
| 3.2.2.3-45 | Material and Workmanship (July 2004) |
| 3.2.2.3-46 | Supervising the Contract Work (July 2004) |
| 3.2.2.3-47 | Permits and Responsibilities (July 2004) |
| 3.2.2.3-48 | Other Contracts (February 2009) |
| 3.2.2.3-49 | Protecting Existing Vegetation, Structures, Equipment, Utilities, and Improvements |
| J.2.2.J-47 | (July 2004) |
| 3.2.2.3-50 | Property Protection (February 2009) |
| 3.2.2.3-51 | Operations and Storage Areas (April 2012) |
| 3.2.2.3-52 | Use and Possession Before the Project is Complete (July 2004) |
| 3.2.2.3-53 | Cleaning Up and Roadway Maintenance (July 2004) |
| 3.2.2.3-54 | Preventing Accidents (July 2004) |
| 3.2.2.3-55 | Availability and Use of Utility Services (July 2004) |
| 3.2.2.3-56 | Schedules for Construction Contracts (July 2004) |
| 3.2.2.3-58 | Layout of Work (February 2009) |
| 3.2.2.3-60 | Specifications, Drawings, and Material Offers (February 2009) |
| 3.2.2.3-61 | Responsibility of the Architect-Engineer Contractor (July 2004) |
| 3.2.2.3-64 | Dismantling and Demolishing of Property (July 2004) |
| 3.2.2.3-66 | Contractor's Daily Log (July 2004) |
| 3.2.2.3-67 | Special Precautions for Work at Operating Airports (July 2004) |
| 3.2.2.3-68 | Safety and Health (July 2004) |
| 3.2.2.3-69 | Subcontracts - Construction (July 2004) |
| 3.2.2.7-6 | Protecting the Government's Interest when Subcontracting with Contractors |
| | Debarred, Suspended, or Proposed for Debarment (February 2009) |
| 3.2.2.7-8 | Disclosure of Team Arrangements (April 2008) |
| 3.2.5-1 | Officials Not to Benefit (April 1996) |
| 3.2.5-3 | Gratuities or Gifts (January 1999) |
| 3.2.5-4 | Contingent Fees (October 1996) |
| 3.2.5-5 | Anti-Kickback Procedures (October 2010) |
| 3.2.5-8 | Whistleblower Protection for Contractor Employees (April 1996) |
| 3.3.1-2 | Payments under Fixed-Price Construction Contracts (April 1996) |
| 3.3.1-9 | Interest (September 2009) |
| 3.3.1-10 | Availability of Funds (April 1996) |
| 3.3.1-15 | Assignment of Claims (April 1996) |
| 3.3.1-17 | Prompt Payment (April 2012) |
| 3.3.1-19 | Prompt Payment for Construction Contracts (September 2009) |
| 3.3.1-31 | Progress Payments (November 2000) |

| | DIFANM-12-R-00143 |
|------------------------|---|
| 3.3.1-34 | Payment by Electronic Funds Transfer- Central Contractor Registration (February |
| | 2009) |
| 3.3.2-1 | FAA Cost Principles (October 1996) |
| 3.4.1-4 | Performance Bond Requirements (October 2010) |
| 3.4.1-5 | Payment Bond Requirements (April 1996) |
| 3.4.1-7 | Notice to Proceed (April 1996) |
| 3.4.1-10 | Insurance - Work on a Government Installation (July 1996) |
| 3.4.1-12 | Insurance (July 1996) |
| 3.4.1-13 | Errors and Omissions (July 1996) |
| 3.6.1-3 | Utilization of Small, Small Disadvantaged and Women-Owned, and Service- |
| 3.0.1 3 | Disabled Veteran Owned Small Business Concerns (February 2009) |
| 3.6.1-7 | Limitations on Subcontracting (July 2008) |
| 3.6.1-8 | g · • |
| | Notification of Competition Limited to Eligible SEDB Concerns (January 2010) |
| 3.6.1-12 | Notice of Service-Disabled Veteran Owned Small Business Set-Aside (January 2010) |
| 3.6.2-1 | Contract Work Hours and Safety Standards Act-Overtime Compensation (January |
| | 2012) |
| 3.6.2-2 | Convict Labor (April 1996) |
| 3.6.2-9 | Equal Opportunity (August 1998) |
| 3.6.2-12 | Equal Opportunity for Veterans (January 2011) |
| 3.6.2-14 | Employee Reports on Veterans (January 2011) |
| 3.6.2-16 | Notice to the Government of Labor Disputes (April 1996) |
| 3.6.2-18 | Davis Bacon Act (October 2010) |
| 3.6.2-19 | Withholding-Labor Violations (April 1996) |
| 3.6.2-20 | Payrolls and Basic Records (October 2010) |
| 3.6.2-21 | Apprentices, Trainees, and Helpers (October 2010) |
| 3.6.2-23 | Certification of Eligibility (April 1996) |
| 3.6.2-35 | Prevention of Sexual Harassment (August 1998) |
| 3.6.2-39 | Trafficking in Persons (January 2008) |
| 3.6.3-3 | Hazardous Material Identification and Material Safety Data (April 2009) |
| 3.6.3-13 | Recycle Content and Environmentally Preferable Products (April 2009) |
| 3.6.3-14 | Use Of Environmentally Preferable Products (April 2009) |
| 3.6.3-16 | Drug Free Workplace (February 2009) |
| | |
| 3.6.3-17 | Efficiency in Energy-Using Products (April 2008) |
| 3.6.3-19 | Affirmative Procurement of Biobased Products Under Service and Construction |
| 2642 | Contracts (July 2010) |
| 3.6.4-3 | Buy American Act - Construction Materials (October 2011) |
| 3.6.4-10 | Restrictions on Certain Foreign Purchases (January 2010) |
| 3.7-1 | Privacy Act Notification (October 1996) |
| 3.7-2 | Privacy Act (October 1996) |
| 3.9.1-1 | Contract Disputes (October 2011) |
| 3.9.1-2 | Protest After Award (August 1997) |
| 3.10.1-7 | Bankruptcy (April 1996) |
| 3.10.1-8 | Suspension of Work (August 1998) |
| 3.10.1-12 | Changes - Fixed-Price (April 1996) |
| 3.10.1-12 Alternate II | Changes - Fixed-Price Alternate II (April 1996) |
| 3.10.1-15 | Changes-Construction, Dismantling, Demolition, or Removal of Improvements (July |
| | 1996) |
| 3.10.1-16 | Changes and Changed Conditions (April 1996) |
| 3.10.1-25 | Novation and Change-Of-Name Agreements (October 2007) |
| 3.10.2-1 | Subcontracts (Fixed-Price Contracts) (April 1996) |
| 3.10.6-1 | Termination for Convenience of the Government (Fixed Price) (October 1996) |
| 3.10.6-6 | Default (Fixed Price Construction) (October 1996) |
| 3.13-3 | Printing/Copying Double-sided on Recycled Paper (January 2012) |
| | |
| 3.13-5 | Seat Belt Use by Contractor Employees (January 1999) |
| 3.13-11 | Plain Language (July 2006) |
| | 1/1 |

Contractor Policy to Ban Text Messaging While Driving (January 2011)

3.14-2 Contractor Personnel Suitability Requirements (January 2011)

- (a) This clause applies to the extent that this contract requires contractor employees, subcontractors, or consultants to have unescorted access to FAA:
- (1) Facilities;
- (2) Sensitive information; and/or;
- (3) Resources regardless of the location where such access occurs, and none of the exceptions of FAA Order 1600.72A, Contractor and Industrial Security Program, Chapter 5, paragraphs 4, 6, 7 and 8 pertains.

Definitions of applicable terminology are contained in the corresponding guidance and FAA Order 1600.72A, appendix A.

(b) Consistent with FAA Order 1600.72A, the FAA Servicing Security Element (SSE) has approved designated risk levels for the positions under the contract. Those designated risk levels are:

LOW

(c) If a National Agency Check with Inquiries (NACI) or other investigation is required under paragraph (b) for a given position, the contractor will submit to the Contracting Officer (CO) a point of contact (POC) that will enter applicant data into the Vendor Applicant Process (VAP) system (vap.faa.gov). VAP is a FAA system used to process and manage security information for FAA contractor personnel. Each contract may have up to 5 POCs. Once designated, a VAP administrator will provide each POC a Web ID and password.

The type of investigation conducted will be determined by the position risk level designation for all duties, functions, and/or tasks performed and will serve as the basis for granting a favorable employment suitability authorization as described in FAA Order 1600.72A. If an employee has had a previous U. S. Government conducted background investigation which meets the requirements of Chapter 5 of FAA Order 1600.72A and Homeland Security Presidential Directive 12 (HSPD-12), it will be accepted by the FAA. However, the FAA reserves the right to conduct further investigations, if necessary. The contract may include positions that are temporary, seasonal, or under escort only. In such cases, a FAA Form 1600-77 for each specific position will be established as the investigative requirements may differ from the NACI.

The following information must be entered into VAP by the POC for each applicant requiring an investigation:

- Name:
- Date and place of birth (city and state);
- Social Security Number (SSN);
- Position and office location;
- Contract number;
- Current e-mail address and telephone number (personal or work); and
- Any known information regarding current security clearance or previous investigations (e.g. the name of the investigating entity, type of background investigation conducted, contract number, labor category (Position), and approximate date the previous background investigation was completed).

If a prior investigation exists and there has not been a 2 year break in service by the applicant, the SSE will notify the contractor that no investigation is required and that final suitability is approved.

If no previous investigation exists, the SSE will send the applicant an e-mail (this step may be delegated to VAP POC):

- Stating that no previous investigation exists and the applicant must complete a form through the Electronic Questionnaires for Investigations Processing (eQIP) system;
- Instructing the applicant how to enter and complete the eQIP form;
- Providing where to send/fax signature and release pages and other applicable forms; and

- Providing instructions regarding fingerprinting.

The applicant must complete the eQIP form and submit other required material within 15 days of receiving the e-mail from the SSE.

For items to be submitted outside eQIP, the contractor must submit the required information, referencing the contract number, to:

Headquarters Contracts:

Manager, Personnel Security Division, AIN-400 800 Independence Avenue, S.W., Room 315 Washington, D.C. 20591

Regional and Center Contracts:

FAA-Northwest Mountain Security Division 1601 Lind Ave SW Renton, WA 98057

- (d) The contractor must submit the information required by paragraph (c) of this Clause for any new employee not listed in the Contractor's initial submission who is hired into any position identified in paragraph (b) of this Clause.
- (e) The CO will provide notice to the contractor when any contractor employee is found to be unsuitable or otherwise objectionable, or whose conduct appears contrary to the public interest, or inconsistent with the best interest of national security. The contractor must take appropriate action, including the removal of such employee from working on this FAA contract, at their own expense. Once action has been taken, the contractor will report the action to the CO and SSE.
- (f) No contractor employee will work in a high, moderate, or low risk position unless the SSE has received all forms necessary to conduct any required investigation and has authorized the contractor employee to begin work.
- (g) The contractor must notify the CO within one (1) business day after any employee identified pursuant to paragraph (c) of this Clause is terminated from performance on the contract. This notification must be done utilizing the Removal Entry Screen of VAP. If FAA issued the terminated employee and identification card, the contractor must collect the card and submit it to the SSE.
- (h) The contractor must request a report from the VAP on at least a semiannual basis in order to reconcile discrepancies and then must notify the SSE of these discrepancies as soon as possible.
- (i) The CO may also, after coordination with the SSE and other security specialists, require contractor employees to submit any other security information (including additional fingerprinting) deemed reasonably necessary to protect the interests of the FAA. In this event, the contractor must provide, or cause each of its employees to provide, such security information to the SSE, to meet the requirements of paragraph (c) of this Clause.
- (j) The contractor and/or subcontractor(s) must contact the Servicing Security Elements (Regional and/or Center Security Divisions) or AIN-400 at Headquarters within one (1) business day in the event an employee is arrested (detained by law enforcement for any offenses, other than minor traffic offenses) or is involved in theft of government property or the contractor becomes aware of any information that may raise a question about the suitability of a contractor employee.
- (k) Failure to submit information required by this clause within the time required may be determined by the CO a material breach of the contract.
- (1) If subsequent to the effective date of this contract, the security classification or security requirements under this

contract are changed by the Government and if the changes cause an increase or decrease in direct contract costs or otherwise affect any other term or condition of this contract, the contract will be subject to an equitable adjustment.

- (m) The contractor agrees to insert terms that conform substantially to the language of this clause, including paragraph (k) but excluding any reference to the Changes clause of this contract, in all subcontracts under this contract that involve access and where the exceptions under Chapter 5, FAA Order 1600.72A do not apply.
- (n) Contractor employees who have not undergone a background investigation must be escorted at all times. In some instances, a contractor employee may be required to serve as an escort. To serve as an escort, a contractor employee must have a favorably adjudicated fingerprint check and initiated a NACI with FAA.

(End of Clause)

3.1.7-6 Disclosure of Certain Employee Relationships (July 2009)

- (a) The policy of the FAA is to avoid doing business with contractors, subcontractors, and consultants who have a conflict of interest or an appearance of a conflict of interest. The purpose of this policy is to maintain the highest level of integrity within its workforce and to ensure that the award of procurement contracts is based upon fairness and merit.
- (b) The contractor must provide to the Contracting Officer the following information with its proposal and must provide an information update within 30 days of the award of a contract, any subcontract, or any consultant agreement, or within 30 days of the retention of a Subject Individual or former FAA employee subject to this clause:
- (1) The names of all Subject Individuals who:
- (i) participated in preparation of proposals for award; or
- (ii) are planned to be used during performance; or
- (iii) are used during performance; and
- (2) The names of all former FAA employees, retained by the contractor who were employed by FAA during the two year period immediately prior to the date of:
 - (i) the award; or
 - (ii) their retention by the contractor; and
- (3) The date on which the initial expression of interest in a future financial arrangement was discussed with the contractor by any former FAA employee whose name is required to be provided by the contractor pursuant to subparagraph (2); and
- (4) The location where any Subject Individual or former FAA employee whose name is required to be provided by the contractor pursuant to subparagraphs (1) and (2), are expected to be assigned.
- (c) "Subject Individual" means a current FAA employee's father, mother, son, daughter, brother, sister, uncle, aunt, first cousin, nephew, niece, husband, wife, father-in-law, mother-in-law, son-in-law, daughter-in-law, brother-in-law, sister-in-law, stepfather, stepmother, stepson, stepdaughter, stepbrother, stepsister, half brother, half sister, spouse of an in-law, or a member of his/her household.
- (d) The contractor must incorporate this clause into all subcontracts or consultant agreements awarded under this contract and must further require that each such subcontractor or consultant incorporate this clause into all subcontracts or consultant agreements at any tier awarded under this contract unless the Contracting Officer determines otherwise.
- (e) The information as it is submitted, must be certified as being true and correct. If there is no such information, the certification must so state.
- (f) Remedies for nondisclosure: The following are possible remedies available to the FAA should a contractor misrepresent or refuse to disclose or misrepresent any information required by this clause:

- (1) Termination of the contract.
- (2) Exclusion from subsequent FAA contracts.
- (3) Other remedial action as may be permitted or provided by law or regulation or policy or by the terms of the contract.
- (g) Annual Certification. The contractor must provide annually, based on the anniversary date of contract award, the following certification in writing to the Contracting Officer:

ANNUAL CERTIFICATION OF DISCLOSURE OF CERTAIN EMPLOYEE RELATIONSHIPS

| The contractor represents and certifies that to the best of its knowledge and belief that during the prior 12 month period: |
|--|
| [] A former FAA employee(s) or Subject Individual(s) has been retained to work under the contract or subcontract or consultant agreement and complete disclosure has been made in accordance with subparagraph (b) of AMS Clause 3.1.7 6. |
| [] No former FAA employee(s) or Subject Individual(s) has been retained to work under the contract or subcontract or consultant agreement, and disclosure required by AMS Clause 3.1.7-6 is not applicable. |
| Authorized Representative |
| Company Name |
| Date |
| (End of clause) |

3.2.2.3-37

Notification of Ownership Changes (July 2004)

- (a) The Contractor (you) must notify FAA in writing within 30 days when you become aware that a change in ownership has occurred or will occur and that the change could affect the value of your capitalized assets in the accounting records, asset valuations, or cause any other cost changes.
- (b) You must:
 - (1) Maintain current, accurate, and complete inventory records of assets and their costs;
 - (2) Provide the Contracting Officer (CO) access to the records on request;
- (3) Ensure that all individual and grouped assets, their capitalized values, accumulated depreciation or amortization, and remaining useful lives are identified accurately before and after each of your ownership changes; and
- (4) Retain and maintain depreciation and amortization schedules based on the asset records maintained before each ownership change.
- (c) You must include the substance of this clause in all subcontracts under this contract with a value exceeding \$1,000,000 and that require cost and price data.

(End of clause)

3.3.1-33 Central Contractor Registration (January 2008)

(a) Definitions. As used in this clause

"Central Contractor Registration (CCR) database" means the primary Government repository for Contractor information required for the conduct of business with the Government.

"Data Universal Numbering System (DUNS) number" means the 9-digit number assigned by Dun and Bradstreet, Inc. (D&B) to identify unique business entities.

"Data Universal Numbering System +4 (DUNS+4) number" means the DUNS number assigned by D&B plus a 4-character suffix that may be assigned by a business concern. (D&B has no affiliation with this 4-character suffix.) This 4-character suffix may be assigned at the discretion of the business concern to establish additional CCR records for identifying alternative Electronic Funds Transfer (EFT) accounts for the same parent concern.

"Registered in the CCR database" means that the Contractor has entered all mandatory information, including the DUNS number or the DUNS+4 number, into the CCR database.

- (b)(1) By submission of an offer, the offeror acknowledges the requirement that a prospective awardee shall be registered in the CCR database prior to award, during performance, and through final payment of any contract, basic agreement, basic ordering agreement, or blanket purchasing agreement resulting from this solicitation.
- (2) The offeror shall enter, in Representations, Certifications and Other Statements of Offerors Section of the solicitation, the DUNS or DUNS +4 number that identifies the offeror's name and address exactly as stated in the offer. The DUNS number will be used by the Contracting Officer to verify that the offeror is registered in the CCR database.
- (c) If the offeror does not have a DUNS number, it should contact Dun and Bradstreet directly to obtain one.
- (1) An offeror may obtain a DUNS number
- (i) If located within the United States, by calling Dun and Bradstreet at 1-866-705-5711 or via the Internet at http://fedgov.dnb.com/webform; or
- (ii) If located outside the United States, by contacting the local Dun and Bradstreet office.
- (2) The offeror should be prepared to provide the following information:
- (i) Company legal business.
- (ii) Tradestyle, doing business, or other name by which your entity is commonly recognized.
- (iii) Company Physical Street Address, City, State, and ZIP Code.
- (iv) Company Mailing Address, City, State and ZIP Code (if different from physical street address).
- (v) Company Telephone Number.
- (vi) Date the company was started.
- (vii) Number of employees at your location.
- (viii) Chief executive officer/key manager.
- (ix) Line of business (industry).
- (x) Company Headquarters name and address (reporting relationship within your entity).
- (d) If the offeror does not become registered in the CCR database in the time prescribed by the Contracting Officer, the Contracting Officer may proceed to award to the next otherwise successful registered offeror.
- (e) Processing time, which normally takes 48 hours, should be taken into consideration when registering. Offerors who are not registered should consider applying for registration immediately upon receipt of this solicitation.
- (f) The Contractor is responsible for the accuracy and completeness of the data within the CCR database, and for any liability resulting from the Government's reliance on inaccurate or incomplete data. To remain registered in the CCR database after the initial registration, the Contractor is required to review and update on an annual basis from the date of initial registration or subsequent updates its information in the CCR database to ensure it is current, accurate and complete. Updating information in the CCR does not alter the terms and conditions of this contract and is not a substitute for a properly executed contractual document.

- (g)(1)(i) If a Contractor has legally changed its business name, "doing business as" name, or division name (whichever is shown on the contract), or has transferred the assets used in performing the contract, but has not completed the necessary requirements regarding novation and change-of-name agreements in AMS Procurement Guidance T3.10.1.A-8, the Contractor shall provide the responsible Contracting Officer a minimum of one business day's written notification of its intention to:
- (A) change the name in the CCR database;
- (B) comply with the requirements of T3.10.1.A-8; and
- (C) agree in writing to the timeline and procedures specified by the responsible Contracting Officer. The Contractor must provide the Contracting Officer with the notification, sufficient documentation to support the legally changed name.
- (ii) If the Contractor fails to comply with the requirements of paragraph (g)(1)(i) of this clause, or fails to perform the agreement at paragraph (g)(1)(i)(C) of this clause, and, in the absence of a properly executed novation or change-of-name agreement, the CCR information that shows the Contractor to be other than the Contractor indicated in the contract will be considered to be incorrect information within the meaning of the "Suspension of Payment" paragraph of the electronic funds transfer (EFT) clause of this contract.
- (2) The Contractor shall not change the name or address for EFT payments or manual payments, as appropriate, in the CCR record to reflect an assignee for the purpose of assignment of claims. Assignees shall be separately registered in the CCR database. Information provided to the Contractor's CCR record that indicates payments, including those made by EFT, to an ultimate recipient other than that Contractor will be considered to be incorrect information within the meaning of the "Suspension of payment" paragraph of the EFT clause of this contract.
- (h) Offerors and Contractors may obtain information on registration and annual confirmation requirements via the internet at http://www.ccr.gov/ or by calling 1-888-227-2423, or 269-961-5757.

(End of Clause)

3.6.2-24 Affirmative Action Compliance Requirements for Construction (October 2010)

- (a) Definitions.
- (1) "Employer identification number," as used in this clause, means the last four digits of the Federal Social Security number used on the employer's quarterly federal tax return, U.S. Treasury Department Form 941.
 - (2) "Minority," as used in this clause, means
 - (i) Black (all persons having origins in any of the black African racial groups not of Hispanic origin);
- (ii) Hispanic (all persons of Mexican, Puerto Rican, Cuban, Central or South American, or other Spanish culture or origin, regardless of race);
- (iii) Asian and Pacific Islander (all persons having origins in any of the original peoples of the Far East, Southeast Asia, the Indian Subcontinent, or the Pacific Islands); and
- (iv) American Indian or Alaskan Native (all persons having origins in any of the original peoples of North America and maintaining identifiable tribal affiliations through membership and participation or community identification).
- (b) If the Contractor, or a subcontractor at any tier, subcontracts a portion of the work involving any construction trade, each such subcontract in excess of \$10,000 shall include this clause, including the goals for minority and female participation stated herein.

(c) The goals for minority and female participation, expressed in percentage terms for the Contractor's aggregate work force in each trade on all construction work in the covered area, are as follows:

Goals for minority participation: <u>7.2%</u> Goals for female participation: <u>6.9%</u>

Compliance with the goals will be measured against the total work hours performed.

- (d) The Contractor shall provide written notification to the Office of Federal Contract Compliance Programs (OFCCP) area office within 10 working days following award of any construction subcontract in excess of \$10,000 at any tier for construction work under the contract resulting from this screening information request. The notification shall list the:
 - (1) Name, address, and telephone number of the subcontractor,
 - (2) Employer identification number of the subcontractor;
 - (3) Estimated dollar amount of the subcontract;
 - (4) Estimated starting and completion dates of the subcontract; and
 - (5) Geographical area in which the subcontract is to be performed.
- (e) The Contractor shall implement the affirmative action procedures in subparagraphs (f)(1) through (7) of this clause. The goals stated in this contract are expressed as percentages of the total hours of employment and training of minority and female utilization that the Contractor should reasonably be able to achieve in each construction trade in which it has employees in the covered area. If the contractor performs construction work in a geographical area located outside of the covered area, it shall apply the goals established for the geographical area where that work is actually performed. The Contractor is expected to make substantially uniform progress toward its goals in each craft.
- (f) The contractor shall take affirmative action steps at least as extensive as the following:
- (1) Ensure a working environment free of harassment, intimidation, and coercion at all sites, and in all facilities where the Contractor's employees are assigned to work. The Contractor, if possible, will assign two or more women to each construction project. The Contractor shall ensure foremen, superintendents, and other on-site supervisory personnel are aware of and carry out the Contractor's obligation to maintain such a working environment, with specific attention to minority or female individuals working at these sites or facilities.
- (2) Immediately notify the OFCCP area office when the union or unions, with which the Contractor has a collective bargaining agreement, has not referred back to the Contractor a minority or woman sent by the Contractor, or when the Contractor has other information that the union referral process has impeded the Contractor's efforts to meet its obligations.
- (3) Develop on-the-job training opportunities and/or participate in training programs for the area that expressly include minorities and women, including upgrading programs and apprenticeship and trainee programs relevant to the Contractor's employment needs, especially those programs funded or approved by the Department of Labor. The Contractor shall provide notice of these programs to the sources compiled under subparagraph (f)(2) above.
- (4) Review, at least annually, the Contractor's equal employment policy and affirmative action obligations with all employees having responsibility for hiring, assignment, layoff, termination, or other employment decisions. Conduct reviews of this policy with all on-site supervision, personnel prior to initiation of construction work at a job site. A written record shall be made and maintained identifying the time and place of these meetings, persons attending, subject matter discussed, and disposition of the subject matter.

- (5) Disseminate the Contractor's equal employment policy externally by including it in any advertising in the news media, specifically including minority and female news media. Provide written notification to, and discuss this policy with, other Contractors and subcontractors with which the Contractor does or anticipates doing business.
- (6) Conduct, at least annually, an inventory and evaluation at least of all minority and female personnel for promotional opportunities. Encourage these employees to seek or to prepare for, through appropriate training, etc., opportunities for promotion.
- (7) Maintain a record of solicitations for subcontracts for minority and female construction contractors and suppliers, including circulation of solicitations to minority and -female contractor associations and other business associations.
- (g) The Contractor is encouraged to participate in voluntary associations that may assist in fulfilling one or more of the affirmative action obligations contained in subparagraphs (f)(1) through (7). The efforts of a contractor association, joint contractor-union, contractor-community, or similar group of which the contractor is a member and participant, may be useful in achieving one or more of its obligations under subparagraphs (f)(1) through (7).
- (h) A single goal for minorities and a separate single goal for women shall be established. The Contractor is required to provide equal employment opportunity and to take affirmative action for all minority groups, both male and female, and all women, both minority and non-minority. Consequently, the Contractor may be in violation of Executive Order 11246, as amended, if a particular group is employed in a substantially disparate manner.
- (i) The contractor shall not use goals or affirmative action standards to discriminate against any person because of race, color, religion, sex, or national origin.
- (j) The Contractor shall not enter into any subcontract with any person or firm debarred from Government contracts under Executive Order 11246, as amended.
- (k) The Contractor shall carry out such sanctions and penalties for violation of this clause and of the Nondiscrimination and Affirmative Action clause, including suspension, termination, and cancellation of existing subcontracts, as may be imposed or ordered under Executive Order 11246, as amended, and its implementing regulations, by the OFCCP. Any failure to carry out these sanctions and penalties as ordered shall be a violation of this clause and Executive Order 11246, as amended.
- (l) Nothing contained herein shall be construed as a limitation upon the application of other laws that establish different standards of compliance.

(End of clause)

3.6.2-41 Employment Eligibility Verification (September 2009)

(a) Definitions:

"Employee assigned to the contract" means an employee who was hired after November 6, 1986, who is directly performing work, in the United States, under a contract that is required to include the Employment Eligibility Verification clause. An employee is not considered to be directly performing work under a contract if the employee--

- (1) Normally performs support work, such as indirect or overhead functions; and
- (2) Does not perform any substantial duties applicable to the contract.

"Subcontract" means any contract entered into by a subcontractor to furnish supplies or services for performance of a prime contract or a subcontract. It includes but is not limited to purchase orders, and changes and modifications to purchase orders.

"Subcontractor" means any supplier, distributor, vendor, or firm that furnishes supplies or services to or for a prime Contractor or another subcontractor.

"United States", as defined in 8 U.S.C. 1101(a)(38), means the 50 States, the District of Columbia, Puerto Rico, Guam, and the U.S. Virgin Islands.

- (b) Enrollment and verification requirements.
- (1) If the Contractor is not enrolled as a Federal Contractor in Department of Homeland Security's Employment Eligibility Verification system ("E-Verify") at time of contract award, the Contractor shall--
- (i) Enroll. Enroll as a Federal Contractor in the E-Verify program within 30 calendar days of contract award;
- (ii) Verify all new employees. Within 90 calendar days of enrollment in the E-Verify program, begin to use E-Verify to initiate verification of employment eligibility of all new hires of the Contractor, who are working in the United States, whether or not assigned to the contract, within 3 business days after the date of hire (but see paragraph (b)(3) of this section); and
- (iii) Verify employees assigned to the contract. For each employee assigned to the contract, initiate verification within 90 calendar days after date of enrollment or within 30 calendar days of the employee's assignment to the contract, whichever date is later

(but see paragraph (b)(4) of this section).

- (2) If the Contractor is enrolled as a Federal Contractor in E-Verify at time of contract award, the Contractor shall use E-Verify to initiate verification of employment eligibility of--
- (i) All new employees.
- (A) Enrolled 90 calendar days or more.

The Contractor shall initiate verification of all new hires of the Contractor, who are working in the United States, whether or not assigned to the contract, within 3 business days after the date of hire (but see paragraph (b)(3) of this section); or

- (B) Enrolled less than 90 calendar days. Within 90 calendar days after enrollment as a Federal Contractor in E-verify, the Contractor shall initiate verification of all new hires of the Contractor, who are working in the United States, whether or not assigned to the
- contract, within 3 business days after the date of hire (but see paragraph (b)(3) of this section); or
- (ii) Employees assigned to the contract. For each employee assigned to the contract, the Contractor shall initiate verification within 90 calendar days after date of contract award or within 30 calendar days after assignment to the contract, whichever date is later (but see paragraph (b)(4) of this section).
- (3) If the Contractor is an institution of higher education (as defined at 20 U.S.C. 1001(a)); a State or local government or the government of a Federally recognized Indian tribe; or a surety performing under a takeover agreement entered into with a Federal
- agency pursuant to a performance bond, the Contractor may choose to verify only employees assigned to the contract, whether existing employees or new hires. The Contractor shall follow the applicable verification requirements at (b)(1) or (b)(2), respectively, except that any requirement for verification of new employees applies only to new employees assigned to the contract.
- (4) Option to verify employment eligibility of all employees. The Contractor may elect to verify all existing employees hired after November 6, 1986, rather than just those employees assigned to the contract. The Contractor shall initiate verification for each existing employee working in the United States who was hired after November 6, 1986, within 180 calendar days of--
- (i) Enrollment in the E-Verify program; or
- (ii) Notification to E-Verify Operations of the Contractor's decision to exercise this option, using the contact information provided in the E-Verify program Memorandum of Understanding (MOU).
- (5) The Contractor shall comply, for the period of performance of this contract, with the requirements of the E-Verify program MOU.
- (i) The Department of Homeland Security (DHS) or the Social Security Administration (SSA) may terminate the Contractor's MOU and deny access to the E-Verify system in accordance with the terms of the MOU. In such case, the Contractor will be referred to a
- suspension or debarment official by the terminating agency.
- (ii) During the period between termination of the MOU and a decision by the suspension or debarment official whether to suspend or debar, the Contractor is excused from its obligations under paragraph (b) of this clause. If the Contractor is suspended or debarred as a result of the MOU termination, the contractor is not eligible to participate in E-Verify during the period of its suspension or debarment. If the suspension or debarment official determines not to suspend or debar the Contractor, then the Contractor must reenroll in E-Verify.

- (c) Web site. Information on registration for and use of the E-Verify program can be obtained via the Internet at the Department of Homeland Security Web site: http://www.dhs.gov/E-Verify.
- (d) Individuals previously verified. The Contractor is not required by this clause to perform additional employment verification using E-Verify for any employee--
- (1) Whose employment eligibility was previously verified by the Contractor through the E-Verify program;
- (2) Who has been granted and holds an active U.S. Government security clearance for access to confidential, secret, or top secret information in accordance with the National Industrial Security Program Operating Manual; or
- (3) Who has undergone a completed background investigation and been issued credentials pursuant to Homeland Security Presidential Directive (HSPD)-12, Policy for a Common Identification Standard for Federal Employees and Contractors.
- (e) Subcontracts. The Contractor shall include the requirements of this clause, including this paragraph (e) (appropriately modified for identification of the parties), in each subcontract that is for Noncommercial services or construction with a value greater than \$3,000 and includes work that is performed inside of the United States.

3.6.3-12 Asbestos - Free Construction (April 2009)

- (a) In performing this contract, the Contractor shall not use asbestos or asbestos-containing building materials during construction, renovation, and/or modernization of this facility and shall provide to the Contracting Officer (CO) a signed statement before completion of the project indicating that to the best of its knowledge, no asbestos or asbestos-containing building materials were used during construction, renovation, and/or modernization of this facility. The Contractor's certification under this clause is considered to be a material requirement of the contract and the FAA may withhold payment pending submittal and receipt of an acceptable certification.
- (b) The FAA CO may authorize sample testing of contractor building materials used during construction, renovation, and/or modernization of this facility to verify that they are asbestos-free. The FAA will bear the expense of this testing unless the testing reveals that the Contractor used asbestos-containing building material in performing this contract. If asbestos-containing material is found, the Contractor shall remove and replace the asbestos-containing material and decontaminate the site of asbestos contamination caused by the Contractor at no additional cost to the Government. In addition, the Contractor shall bear the expense of the original testing and retesting to determine that the asbestos removal and site decontamination are satisfactorily completed.

(End of clause)

3.8.2-22 Substitution or Addition of Personnel (October 2006)

- (1) The Contractor must assign only those individuals whose resumes, personnel data, or personnel qualification statements have been submitted and determined by the Contracting Officer to meet the minimum requirements of the contract. The Contractor must not substitute or add personnel except in accordance with this clause.
- (2) Substitution of Personnel.
- (a) The Contractor must not substitute personnel for the individuals whose resumes or other personal qualification were submitted with its offer and that were determined by the Contracting Officer to be acceptable at the time of contract award, unless such substitutions are because of an individual's sudden illness, death, or termination of employment. In any of these events, the Contractor must promptly notify the Contracting Officer and propose substitute personnel as required by paragraph (4) below.
- (b) If an individual becomes, for whatever reason, unavailable for work under the contract for a continuous period exceeding thirty (30) working days, or is expected to devote substantially less effort to the planned work, the Contractor must propose a substitute personnel as required by paragraph (4) below.
- (3) Addition of Personnel. If an FAA requirement will increase the specified level of effort for a designated labor category, but not the overall level of effort of the contract, then the Contractor must notify the Contracting Officer to add

personnel to the designated labor category. The Contractor must request added personnel as required by paragraph (4) below.

- (4) Request and Review. The Contractor must submit the request for substitute or added personnel in writing to the Contracting Officer as soon as possible before the proposed date of substitution or addition. The Contractor's request must provide a detailed explanation of the circumstances causing the proposed substitution or addition, a complete resume for the proposed substitute or added personnel, and any additional information required by the Contracting Officer. Proposed substitutes and added personnel must have qualifications equal to or higher than those stated in the contract for the labor category. The Contracting Officer will evaluate the Contractor's request and promptly notify the Contractor of the decision to accept or reject the qualifications of the substitute or added personnel.
- (5) The Contracting Officer may terminate the contract if the Contractor has not made suitable, timely, and reasonably forthcoming replacement of personnel who have been reassigned or terminated or otherwise become unavailable to work under the contract or the resulting loss of productive effort would impair the successful completion of the contract. Alternatively, if the Contracting Officer finds the Contractor to be at fault for the condition, then the Contracting Officer may equitably adjust (downward) the contract price or fixed fee to compensate the Government for any delay, loss or damage as a result of the Contractor's action.

(End of clause)

PART III - SECTION J LIST OF ATTACHMENTS

| Attachment A | Davis Bacon Wage Determination State of Washington |
|--------------|--|
| Attachment B | Customer Satisfaction Survey (CSS) |
| Attachment C | Specifications |

PART IV - SECTION K

REPRESENTATIONS, CERTIFICATIONS, AND OTHER STATEMENTS OF OFFERORS

Clauses and Provisions Incorporated by reference (July 2011)

| This screening information request (SIR) | or contract, as applicable | , incorporates by reference | the provisions or clauses |
|--|----------------------------|-----------------------------|---------------------------|

3.1-1

listed below with the same force and effect as if they were given in full text. Upon request, the Contracting Officer will make the full text available, or offerors and contractors may obtain the full text via Internet at: http://conwrite.faa.gov.

| 3.2.2.3-3 3.2.2.3-81 | Affiliated Offerors (July 2004) Prohibition Against Contracting with Inverted Domestic Corporations- Representation (January 2010) | |
|--|--|--|
| 3.2.5-7 | Disclosure Regarding Payments to Influence Certain Federal Transactions (October 2010) | |
| 3.6.3-4 3.6.3-18 | Recovered Material Certification (April 2009) Biobased Product Certification (July 2010) | |
| 3.2.2.3-2 (a) 'Acceptance period,' as used contract from the date the SIR s | Minimum Offer Acceptance Period (July 2004) In this provision, means the number of calendar days the FAA (we, us) has to award a specifies for receiving offers. | |
| (b) This provision supersedes a | ny language about the acceptance period appearing elsewhere in this SIR. | |
| (c) We require a minimum acce | eptance period of <u>60</u> calendar days. | |
| (d) The offeror (you) may specify a longer acceptance period than the period shown in paragraph (c). To specify a longer period, fill in the blank: The offeror allows the following acceptance period: calendar days. | | |
| (e) We may reject an offer allo | wing less than the FAA's minimum acceptance period. | |
| (f) You agree to fulfill your offer completely if the FAA accepts your offer in writing within: | | |
| (1) The acceptance period stated in paragraph (c) of this provision; or | | |
| (2) Any longer acceptance period stated in paragraph (d) of this provision. | | |
| (End of provision) | | |
| 3.2.2.3-10 By checking the applicable box | Type of Business Organization (July 2004) a, the offeror (you) represents that | |
| (a) You operate as [] a corpora [] a partnership, [] a nonprofit organization]. | tion incorporated under the laws of the State of, [] an individual, organization, [] a joint venture or [] other[specify what type of | |
| | you operate as [] an individual, [] a partnership, [] a nonprofit organization, [] a joint gistered for business in | |
| (End of provision) | | |

3.2.2.3-15

(e) Common Parent.

Authorized Negotiators (July 2004)

| 0.1 | rsons are authorized to negotiate on your behalf with the FAA in connection with |
|---|---|
| this offer: | |
| Name: | |
| Title: | |
| Phone number: | |
| (End of provision) | |
| 3.2.2.3-70 | yer Identification (July 2004) |
| | s clause, means a corporate entity that owns or controls an affiliated group of your) Federal income tax returns on a consolidated basis, and of which you are a |
| · / | s clause, means a designation as to whether you are a corporate entity, an e proprietorship or partnership), or a corporation providing medical and health care |
| (3) "Taxpayer Identification Number (IRS) requires you use in reporting inco | (TIN)," as used in this clause, means the number the Internal Revenue Service ome tax and other returns. |
| reporting requirements of 26 U.S.C. 604 use this information to collect and report Government, under Public Law 104 -13 resulting contract is subject to the report | ation required in paragraphs (c) through (e) of this provision to comply with 41, 6041A, and 6050M and implementing regulations issued by IRS. The FAA will ton any delinquent amounts arising out of your relation with the Federal 4, the Debt Collection Improvement Act of 1996, Section 31001(I)(3). If the ting requirements and you refuse or fail to provide the information, the our payments 31 percent under the contract. |
| (c) Taxpayer Identification Number (TI | N). |
| connected with the conduct of a trade or paying agent in the U.S.; [] Offeror is an agency or instrumentali | n corporation, or foreign partnership that does not leave income effectively r business in the U.S. and does not have an office or place of business or a fiscal ity of a foreign government; ty of a Federal, state, or local government; |
| (d) Corporate Status. | |
| services; [] Other corporate entity [] Not a corporate entity [] Sole proprietorship [] Partnership | health care services, or engaged in the billing and collecting of payments for such scribed in 26 CFR 501(c)(3) that is exempt from taxation under 26 CFR 501(a). |

| [] A common parent does not own or control the offeror as defined in paragraph (a). [] Name and TIN of common parent: | |
|---|---|
| | |
| TIN | |
| (End of provision) | |
| 3.2.2.7-7 | Certification Regarding Responsibility Matters (January 2010) |

- (a)(1) The Offeror certifies, to the best of its knowledge and belief, that
- (i) The Offeror and/or any of its Principals-
- A) Are [] are not [] presently debarred, suspended, proposed for debarment, or declared ineligible for the award of contracts by any Federal agency;
- (B) Have [] have not [] within a three-year period preceding this offer, been convicted of or had a civil judgment rendered against them for: commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public
- (Federal, state, or local) contract or subcontract; violation of Federal or state antitrust statutes relating to the submission of offers; or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, tax evasion, violating Federal criminal tax laws or receiving stolen property; and
- (C) Are [] are not [] presently indicted for, or otherwise criminally or civilly charged by a governmental entity with, commission of any of the offenses enumerated in subdivision a)(1)
- (i)(B) of this provision.
- (D) Have [], have not [], within a three-year period preceding this offer, been notified of any delinquent Federal taxes in an amount that exceeds \$3,000 for which the liability remains unsatisfied.
- (1) Federal taxes are considered delinquent if both of the following criteria apply:
- (i) The tax liability is finally determined. The liability is finally determined if it has been assessed. A liability is not finally determined if there is a pending administrative or judicial challenge. In the case of a judicial challenge to the liability, the liability is not finally determined until all judicial appeal rights have been exhausted.
- (ii) The taxpayer is delinquent in making payment. A taxpayer is delinquent if the taxpayer has failed to pay the tax liability when full payment was due and required. A taxpayer is not delinquent in cases where enforced collection action is precluded.
- (2) Examples-
- (i) The taxpayer has received a statutory notice of deficiency, under I.R.C. Sec. 6212, which entitles the taxpayer to seek Tax Court review of a proposed tax deficiency. This is not a delinquent tax because it is not a final tax liability. Should the taxpayer seek Tax Court review, this will not be a final tax liability until the taxpayer has exercised all judicial appeal rights.
- (ii) The IRS has filed a notice of Federal tax lien with respect to an assessed tax liability, and the taxpayer has been issued a notice under I.R.C. Sec. 6320 entitling the taxpayer to request a hearing with the IRS Office of Appeals contesting the lien filing, and to further appeal to the Tax Court if the IRS determines to sustain the lien filing. In the course of the hearing, the taxpayer is entitled to contest the underlying tax liability because the taxpayer has had no prior opportunity to contest the liability. This is not a delinquent tax because it is not a final tax liability. Should the taxpayer seek tax court review, this will not be a final tax liability until the taxpayer has exercised all judicial appeal rights.
- (iii) The taxpayer has entered into an installment agreement pursuant to I.R.C. Sec. 6159. The taxpayer is making timely payments and is in full compliance with the agreement terms. The taxpayer is not delinquent because the taxpayer is not currently

required to make full payment.

- (iv) The taxpayer has filed for bankruptcy protection. The taxpayer is not delinquent because enforced collection action is stayed under 11 U.S.C. 362 (the Bankruptcy Code).
- (b) The Offeror has [] has not [] within a three-year period preceding this offer, had one or more contracts terminated for default by any Federal agency.
- (2) 'Principals,' for the purposes of this certification, means officers; directors; owners; partners; and, persons having primary management or supervisory responsibilities within a business entity (e.g., general manager; plant manager; head of a subsidiary, division, or business segment, and similar positions). THIS CERTIFICATION CONCERNS A MATTER WITHIN THE JURISDICTION OF AN AGENCY OF THE UNITED STATES AND THE MAKING OF A FALSE,

FICTITIOUS, OR FRAUDULENT CERTIFICATION MAY RENDER THE MAKER SUBJECT TO PROSECUTION UNDER SECTION 1001, TITLE 18, UNITED STATES CODE.

- (c) The Offeror shall provide immediate written notice to the Contracting Officer if, at any time prior to contract award, the Offeror learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
- (d) A certification that any of the items in paragraph (a) of this provision exists will not necessarily result in withholding of an award under this SIR. However, the certification will be considered in connection with a determination of the Offeror's responsibility. Failure of the Offeror to furnish a certification or provide such
- additional information as requested by the Contracting Officer may render the Offeror nonresponsible.
- (e) Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render, in good faith, the certification required by paragraph (a) of this provision. The knowledge and information of an Offeror is not

required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings. (f) The certification in paragraph (a) of this provision is a material representation of fact upon which reliance was placed when making award. If it is later determined that the Offeror knowingly rendered an erroneous certification, in addition to other remedies available to the Government, the Contracting Officer may terminate the contract resulting from this SIR for default.

(End of provision)

(i) A natural person;

| | Certification of Registration in Central Contractor Registration (CCR) (April 2006) 1-33, Central Contractor Registration, offeror certifies that they are registered in the CCR nandatory information including the DUNS or DUNS+4 Number. |
|----------------------------------|--|
| Name: | |
| Title: | |
| Phone Number: | |
| (End of provision) | |
| establishment, affirmative actio | Affirmative Action Compliance (April 1996) t [] has developed and has on file, [] has not developed and does not have on file, at each n programs required by the rules and regulations of the Secretary of Labor (41 CFR 60-1 reviously had contracts subject to the written affirmative action programs requirement of Secretary of Labor. |
| 3.6.4-19 (January 2012) | Prohibition on Engaging in Sanctioned Activities Relating to Iran-Certification |
| (a) Definitions. | |
| "Person" | |
| (1) Means | |

- (ii) A corporation, business association, partnership, society, trust, financial institution, insurer, underwriter, guarantor, and any other business organization, any other nongovernmental entity, organization, or group, and any governmental entity operating as a business enterprise; and
- (iii) Any successor to any entity described in paragraph (1)(ii) of this definition; and
- (2) Does not include a government or governmental entity that is not operating as a business enterprise.
- "Sensitive Technology"
- (1) Means hardware, software, telecommunications equipment, or any other technology that is to be used specifically
- (i)To restrict the flow of free, unbiased information in Iran; or
- (ii) To disrupt, monitor, or otherwise restrict the speech of the people of Iran; and
- (2) Does not include information or informational materials the export of which the President does not have the authority to regulate or prohibit pursuant to Section 203(b)(3) of the International Emergency Economic Powers Act (50 U.S.C. 1702(b)(3)).
- (3) The offeror must e-mail any questions concerning sensitive technology to the Department of State at CISADA106@state.gov.
- (b) Certification. Except as provided in paragraph (c) of this provision or if a waiver has been granted in accordance with AMS Iran Sanctions Guidance, by submission of its offer, the offeror
- (1)Represents, to the best of its knowledge and belief, that the offeror does not export any sensitive technology to the government of Iran or any individuals owned or controlled by, or acting on behalf or at the direction of, the government of Iran; and
- (2) Certifies that the offeror, or any person owned or controlled by the offeror, does not engage in any activities for which sanctions may be imposed under section 5 of the Iran Sanctions Act of 1996. These sanctioned activities are in the areas of development of the petroleum resources of Iran, production of refined petroleum products in Iran, sale and provision of refined petroleum products to Iran, and contributing to Iran's ability to acquire or develop certain weapons or technologies.
- (c) The certification requirement of paragraph (b) of this provision does not apply if the acquisition is subject to the traderelated acts in AMS Trade Agreements Guidance.

(End of provision)

BUSINESS DECLARATION

| 1. | Name of Firm: | | | Tax Identification No.: | | |
|--|---|-----------------------------------|---------------------------------------|---|--|--|
| 2. | Address of Firm: | | | Duns No. | | |
| 3. | Telephone Number of Firm: | | | | | |
| 4. | a. Name of Person Making | | | | | |
| | b. Telephone Number of Person | | | | | |
| | c. Position Held in the Company | y | | | | |
| 5. | Controlling Interest in Company | ("X" all appropriate boxes) | | | | |
| | a. Black American | b. Hispanic American | c. Native American | d. Asian American | | |
| | e. Other Minor | f. Other (Specify) | | | | |
| | g. Female h. Male | i. 8(a) Certified (Certificat | tion letter attached) 🔲 j. Ser | vice Disabled Veteran Small Business | | |
| 6. | Is the person identified in Numb financial and management decision | | to-day management and polic | y decision making, including but not limited to | | |
| | a. Yes b. No | (If "NO," provide the name and | telephone number of the pers | son who has this authority.) | | |
| | | | | | | |
| 7 | Natura of Duning and (County) | in a factor (NAIC)) | | | | |
| 7. | Nature of Business (Specify all serv | | · · · · · · · · · · · · · · · · · · · | | | |
| 8. | (a) Years the firm has been in business: | (b) No. of | Employees | | | |
| 9. | Type of Ownership: | ole Ownership 🔲 b. Par | rtnership | | | |
| | ☐ c. Other (Explain) | | | | | |
| 10. | Gross receipts of the firm for the | last three years: a.1. Yea | r b.1. Gross | Receipts | | |
| | a.2. Year | b.2 a.3. Yea | r b.3. Gross | Receipts | | |
| 11. | Is the firm a small business? | a. Yes 🔲 b. No | | | | |
| 12. | Is the firm a service disabled veteran | owned small business? a. Yes | ☐ b. No | | | |
| 13. | Is the firm a socially and economical | lly disadvantaged small business? | a. Yes b. No | | | |
| I DECLARE THAT THE FOREGOING STATEMENTS CONCERNING | | | | | | |
| ARE | ARE TRUE AND CORRECT TO THE BEST OF MY KNOWLEDGE, INFORMATION, AND BELIEF. I AM AWARE THAT I AM SUBJECT TO CRIMINAL | | | | | |
| PROS | PROSECUTION UNDER THE PROVISIONS OF 18 USCS 1001. | | | | | |
| | 14. A. Signature | b | TE: | | | |
| | c. Typed Name | d. Titl | le: | | | |

PART IV - SECTION L INSTRUCTIONS, CONDITIONS, AND NOTICES TO OFFERORS

3.1-1 Clauses and Provisions Incorporated by reference (July 2011)

This screening information request (SIR) or contract, as applicable, incorporates by reference the provisions or clauses listed below with the same force and effect as if they were given in full text. Upon request, the Contracting Officer will make the full text available, or offerors and contractors may obtain the full text via Internet at: http://conwrite.faa.gov.

| 3.2.2.3-1 | False Statements in Offers (July 2004) |
|------------|--|
| 3.2.2.3-6 | Submittals in the English Language (July 2004) |
| 3.2.2.3-7 | Submittals in U.S. Currency (July 2004) |
| 3.2.2.3-11 | Unnecessarily Elaborate Submittals (July 2004) |
| 3.2.2.3-12 | Amendments to Screening Information Requests (July 2004) |
| 3.2.2.3-13 | Submission of Information/Documentation/Offers (July 2004) |
| 3.2.2.3-14 | Late Submissions, Modifications, and Withdrawals of Submittals (July 2004) |
| 3.2.2.3-16 | Restricting, Disclosing and Using Data (July 2004) |
| 3.2.2.3-17 | Preparing Offers (July 2004) |
| 3.2.2.3-18 | Prospective Offeror's Requests for Explanations (February 2009) |
| 3.2.2.3-19 | Contract Award (July 2004) |
| 3.2.2.3-72 | Announcing Competing Offerors (July 2004) |
| 3.13-4 | Contractor Identification Number - Data Universal Numbering System (DUNS) |
| | Number (April 2006) |

3.2.2.3-22 Period for Acceptance of Offer (July 2004)

The offeror (you) agrees that if this offer is accepted within _____ calendar days (60 calendar days unless you insert a different period) from the date the SIR specifies for receiving offers, to provide all items for which you offer prices at the price set opposite each item, delivered at the designated point(s), within the time specified in the Schedule.

(End of provision)

3.2.2.3-63 Site Visit (Construction) (July 2004)

(a) AMS clauses 3.2.2.3-42, Differing Site Conditions, and 3.2.2.3-43, Site Investigations and Conditions Affecting the Work, will be included in any contract awarded under this SIR. Accordingly, FAA urges and expects offerors to inspect the site where the work will be performed.

(End of provision)

3.2.4-1 Type of Contract (April 1996)

The FAA contemplates award of a firm fixed price contract resulting from this Screening Information Request.

(End of provision)

3.9.1-3 Protest (October 2011)

AS A CONDITION OF SUBMITTING AN OFFER OR RESPONSE TO THIS SIR (OR OTHER SOLICITATION, IF APPROPRIATE), THE OFFEROR OR POTENTIAL OFFEROR AGREES TO BE BOUND BY THE FOLLOWING PROVISIONS RELATING TO PROTESTS:

- (a) Protests concerning Federal Aviation Administration Screening Information Requests (SIRs) or awards of contracts shall be resolved through the Federal Aviation Administration (FAA) dispute resolution system at the Office of Dispute Resolution for Acquisition (ODRA) and shall be governed by the procedures set forth in 14 C.F.R. Parts 14 and 17, which are hereby incorporated by reference. Judicial review, where available, will be in accordance with 49 U.S.C. 46110 and shall apply only to final agency decisions. A protestor may seek review of a final FAA decision only after its administrative remedies have been exhausted.
- (b) Offerors initially should attempt to resolve any issues concerning potential protests with the Contracting Officer. The Contracting Officer should make reasonable efforts to answer questions promptly and completely, and, where possible, to resolve concerns or controversies. The protest time limitations, however, will not be extended by attempts to resolve a potential protest with the Contracting Officer.
- (c) The filing of a protest with the ODRA may be accomplished by mail, overnight delivery, hand delivery, or by facsimile or if permitted by order of the ODRA, by electronic filing.. A protest is considered to be filed on the date it is received by the ODRA during normal business hours. The ODRA's normal business hours are from 8:30 am to 5:00 pm Eastern Time.
- (d) Only an interested party may file a protest. An interested party is one whose direct economic interest has been or would be affected by the award or failure to award an FAA contract. Proposed subcontractors are not "interested parties" within this definition.
- (e) A written protest must be filed with the ODRA within the times set forth below, or the protest shall be dismissed as untimely:
- (1) Protests based upon alleged improprieties in a solicitation or a SIR that are apparent prior to bid opening or the time set for receipt of initial proposals shall be filed prior to bid opening or the time set for the receipt of initial proposals.
- (2) In procurements where proposals are requested, alleged improprieties that do not exist in the initial solicitation, but which are subsequently incorporated into the solicitation, must be protested not later than the next closing time for receipt of proposals following the incorporation.
- (3) For protests other than those related to alleged solicitation improprieties, the protest must be filed on the later of the following two dates:
- (i) Not later than seven (7) business days after the date the protester knew or should have known of the grounds for the protest; or
- (ii) If the protester has requested a post-award debriefing from the FAA Product Team, not later than five (5) business days after the date on which the Product Team holds that debriefing.
- (f) Protests shall be filed at:
- (1) Office of Dispute Resolution for Acquisition

Federal Aviation Administration

800 Independence Ave., S.W.

Room 323

Washington, DC 20591

Telephone: (202) 267-3290

Facsimile: (202) 267-3720; or

- (2) Other address as specified in 14 CFR Part 17.
- (g) At the same time as filing the protest with the ODRA, the protester shall serve a copy of the protest on the Contracting Officer and any other official designated in the SIR for receipt of protests by means reasonably calculated to be received by the Contracting Officer on the same day as it is to be received by the ODRA. The protest shall include a signed statement from the protester, certifying to the ODRA the manner of service, date, and time when a copy of the protest was served on the Contracting Officer and other designated official(s).
- (h) Additional information and guidance about the ODRA dispute resolution process for protests can be found on the ODRA Website at http://www.faa.gov.

(End of provision)

L001. SUBMISSION OF OFFER

1. Technical Proposal

A. Past Experience

Use supporting documentation to demonstrate at least three (3) projects successfully completed within the past five 5 years similar in scope to the work to be done. The three projects must demonstrate the firm's capability to perform the type of services described in the Statement of Work. Be specific and provide details. For each project address the following points:

- a) Project title, description, location, and contract number
- b) Client names, business address, phone numbers, and contact person to validate performance
- c) Dollar value
- d) Scope of work
- e) Percentages of work subcontracted and nature of that work
- f) Award and completion dates
- g) Any relevant information that would reflect on the offeror's ability to meet schedule constraints.

A negative response is required in the event of no similar experience for a particular area, or for any item that is not applicable. Any omission or partial and vague responses may lead to the rejection of the offeror's proposal without discussions with offeror. All submitted technical information shall be considered proprietary data and shall be utilized for evaluation purposes only and kept confidential. Offerors are advised that the Government reserves the right to use and evaluate any and all available pertinent information, in addition to the data presented in the technical proposal.

B. Past Performance- Customer Satisfaction Surveys (third party references)

Prime Contractor is requested to have at least three (3) of the attached customer satisfaction surveys (CSS's) completed and returned to this office c/o Matthew Benbow **by a third party reference.** It is advised that the Contractor distribute more than three CSS's to third-party references as not all are returned. Customer Satisfaction Survey may be emailed to Matthew Benbow at Matt.Benbow@faa.gov. It is advised each Contractor verify receipt of the requisite number of CSS's in advance of solicitation deadline.

If three (3) customer satisfaction surveys (CSS's) are not received we reserve the option to contact the prime contractor and request references that we may contact directly.

- 2. **Business Proposal** NOTE: The business proposal shall be a <u>physically separate document</u> and not be combined with the technical proposal. It shall include:
 - a) Cover letter stating that **no exceptions** are taken to any specification requirements or contract terms and conditions, **or a detailed summary** of all exceptions taken.
 - b) Signed SOLICITATION, OFFER, AND AWARD Form (SF-1442) and, if applicable, any AMENDMENTS to the RFO (SF-30)
 - c) Part I, Section B, PRICE SCHEDULE
 - d) Part IV, Section K, REPRESENTATIONS, CERTIFICATIONS, AND OTHER STATEMENTS
 - e) Part IV, Section K, Business Declaration Form
 - f) SEDB 8(a) Congratulations letter from the Small Business Administration (if applicable)

L002. Submission Date And Place:

The due date for receipt of offers is AUGUST 30, 2012, at 12:00 PM, PDT.

Offerors wishing to submit an offer, modification or withdrawal through the U.S. Postal Service, Certified and Registered mail, Special Delivery, or U.S. Postal Express Mail shall be addressed to:

FEDERAL AVIATION ADMINISTRATION ACQUISITION MANAGEMENT BRANCH ATTN: MATTHEW BENBOW AAQ-530 1601 LIND AVENUE SW RENTON, WA 98057

L003. SOLICITATION QUESTIONS

Should you need a clarification or interpretation of anything in this solicitation, you must submit your request in writing. Any such request must be submitted at least seven (7) days prior to the proposal due date. Submit your request to the Federal Aviation Administration, Attn: MATTHEW BENBOW 1601 Lind Avenue SW, Renton WA 98057 or matt.benbow@faa.gov. Telephonic requests will not be accepted. The offer shall provide an address, telephone and FAX number. The Contracting Officer is the only person authorized to make clarifications, interpretations, or changes to this solicitation.

THIS IS A REQUEST FOR OFFERS, THUS NO FORMAL BID OPENING WILL OCCUR AND RESULTS WILL NOT BE AVAILABLE BY TELEPHONE. DO NOT CALL FOR RESULTS!

PART IV - SECTION M EVALUATION FACTORS FOR AWARD

3.1-1 Clauses and Provisions Incorporated by reference (July 2011)

This screening information request (SIR) or contract, as applicable, incorporates by reference the provisions or clauses listed below with the same force and effect as if they were given in full text. Upon request, the Contracting Officer will make the full text available, or offerors and contractors may obtain the full text via Internet at: http://conwrite.faa.gov.

M001, EVALUATION FACTORS FOR AWARD

The Government may award only to a responsible offeror whose proposal conforms to the solicitation terms and conditions. The Government reserves the right to award on initial offers without discussions or to conduct one-on-one discussions with one or more offerors to clarify issues relating to scope, pricing and responsibility. **The Government will make award to the contractor offering the lowest priced, technically acceptable offer.**

Proposals shall be evaluated as either "acceptable" or "unacceptable" on the basis of the following criteria:

(A). Past Experience

STANDARD FOR REVIEW: An acceptable proposal must demonstrate at least three (3) successful relevant projects in the past five (5) years similar to the current requirement. The FAA reserves the right to contact the customers listed as references, and to apply that information in its final determination.

(B). Past Performance- Customer Satisfaction Surveys or other acceptable evidence of satisfactory past performance(By a third party)
Rate as acceptable or unacceptable

Prime Contractor is expected to have three (3) customer satisfaction surveys (CSS's) submitted by a third party.

STANDARD FOR REVIEW: Contractor must have positive past performance history. If surveys are not sufficient to show positive past performance the Agency may use information other than that provided by the Contractor in connection with this solicitation. We reserve the option to contact the prime contractor and request references that we will contact directly.

M002. CONSIDERATION OF PRICE

The offeror shall submit pricing information as prescribed in PART I - SECTION B of the Request for Offers. The Government will make award based on successful negotiation of price and conformance with solicitation terms and conditions to the lowest priced, technically acceptable offer.

Each offeror is required to provide a price for each contract line item (CLIN). Failure to comply may result in the rejection of the subject offer. A single award shall be made. There shall be no split award. In the event that the CLIN price for any line item is materially unbalanced, the entire offer may be rejected without discussion with the offeror. In the event of any disparity between the CLIN price and the total offered price, the CLIN price shall be deemed correct, and the total offered amount shall be revised accordingly, unless available information indicates otherwise.

ATTACHMENT A: DAVIS BACON WAGE RATES

General Decision Number: WA120036 07/06/2012 WA36

Superseded General Decision Number: WA20100051

State: Washington

Construction Type: Building

County: King County in Washington.

BUILDING CONSTRUCTION PROJECTS (does not include single family

homes or apartments up to and including 4 stories).

| Modification Numb | er Publication Date |
|-------------------|---------------------|
| 0 | 01/06/2012 |
| 1 | 01/27/2012 |
| 2 | 02/10/2012 |
| 3 | 03/02/2012 |
| 4 | 03/16/2012 |
| 5 | 03/23/2012 |
| 6 | 04/13/2012 |
| 7 | 04/20/2012 |
| 8 | 04/27/2012 |
| 9 | 06/01/2012 |
| 10 | 06/08/2012 |
| 11 | 06/29/2012 |
| 12 | 07/06/2012 |

ASBE0007-002 06/01/2010

| | Rates | Fringes |
|--|-----------|-------------------------|
| ASBESTOS WORKER/HEAT & FROST INSULATOR | • | 13.75 |
| BRWA0001-011 06/01/2011 | | |
| | Rates | Fringes |
| Bricklayers, Caulkers | | 13.27 |
| CARP0770-020 06/01/2010 | | |
| | Rates | Fringes |
| CARPENTER (Acoustical Installation) | \$ 35.55 | 13.08 |
| Installation) | .\$ 36.39 | 13.08 13.08 13.08 |

(HOURLY ZONE PAY: WESTERN AND CENTRAL WASHINGTON - ALL CLASSIFICATIONS EXCEPT MILLWRIGHTS AND PILEDRIVERS

Hourly Zone Pay shall be paid on jobs located outside of the free zone computed from the city center of the following listed cities:

Bellingham n Anacortes Olympia Seattle Auburn Bremerton Yakima Shelton Tacoma Wenatchee
Ellensburg Everett Port Angeles
Centralia Mount Vernon Sunnyside
Chelan Pt. Townsend

Zone Pay:

0 -25 radius miles Free 26-35 radius miles \$1.00/hour 36-45 radius miles \$1.15/hour 46-55 radius miles \$1.35/hour Over 55 radius miles \$1.55/hour

(HOURLY ZONE PAY: WESTERN AND CENTRAL WASHINGTON - MILLWRIGHT AND PILEDRIVER ONLY)

Hourly Zone Pay shall be computed from Seattle Union Hall, Tacoma City center, and Everett City center

Zone Pay:

0 -25 radius miles Free 26-45 radius miles \$.70/hour Over 45 radius miles \$1.50/hour

ELEC0046-006 06/01/2011

| | Rates | Fringes | |
|-------------|----------|----------|---|
| ELECTRICIAN | \$ 40.79 | 3%+15.71 | |
| | | | - |

ELEC0046-007 03/05/2012

| | Rates | Fringes |
|--|-------|---------|
| ELECTRICIAN (Alarm Installation Only)\$ ELECTRICIAN (Low Voltage | 27.28 | 10.29 |
| Wiring Only)\$ | 27.28 | 10.29 |

ELEV0019-005 01/01/2012

| | I | Rates | Fringes |
|----------|------------|-------|------------|
| ELEVATOR | MECHANIC\$ | 46.54 | 23.535+a+b |

FOOTNOTE:

- a. Employer contributes 8% of the basic hourly rate for over 5 year's service and 6% of the basic hourly rate for 6 months to 5 years' of service as vacation paid credit.
- b. Eight paid holidays: New Year's Day; Memorial Day; Independence Day; Labor Day; Veteran's Day; Thanksgiving

Day; Friday after Thanksgiving and Christmas Day

ENGI0302-019 06/01/2011

| | Rates | Fringes |
|----------------------------|-----------|---------|
| Power equipment operators: | | |
| Group 1A | .\$ 35.79 | 15.15 |
| Group 1AA | .\$ 36.36 | 15.15 |
| Group 1AAA | .\$ 36.92 | 15.15 |
| Group 1 | .\$ 35.24 | 15.15 |
| Group 2 | .\$ 34.75 | 15.15 |
| Group 3 | .\$ 34.33 | 15.15 |
| Group 4 | .\$ 31.97 | 15.15 |

POWER EQUIPMENT OPERATORS CLASSIFICATIONS

GROUP 1AAA - Cranes-over 300 tons, or 300 ft of boom (including jib with attachments)

GROUP 1AA - Cranes 200 to 300 tons, or 250 ft of boom (including jib with attachments); Excavator/Trackhoe: Over 90 metric tons

GROUP 1A - Cranes, 100 tons thru 199 tons, or 150 ft of boom (including jib with attachments); Loaders-overhead, 8 yards and over; excavator/Trackhoe: over 50 metric tons to 90 metric tons

GROUP 1 - Cranes 45 tons thru 99 tons, under 150 ft of boom (including jib with attachments); Excavator/Trackhoe: over 30 metric tons to 50 metric tons; Loader- overhead 6 yards to, but not including 8 yards; Dozer D-10; Screedman; Scrapers: 45 yards and over; Grader/Blade

GROUP 2 - Cranes, 20 tons thru 44 tons with attachments; Drilling machine; Excavator/Trackhoe: 15 to 30 metric tons; Horizontal/directional drill operator; Loaders-overhead under 6 yards; Crane Oiler-100 Tons and Over; Compactor; Scraper: under 45 tons

GROUP 3 - Cranes-thru 19 tons with attachments; Dozers-D-9 and under; Motor patrol grader-nonfinishing; Roller-Plant Mix; Crane Oiler under 100 tons; Excavator/Trackhoe: under 15 metric tons; Forklift: 3000 lbs and over with attachments; Service Oiler; Concrete Pump; Outside Hoist (Elevators and Manlifts); Pump Grout

GROUP 4 - Roller-other than plant mix; Forklift: under 3000 lbs with attachments; Bobcat; Rigger/Bellman

IRON0086-010 01/01/2012

| | Rates | Fringes |
|---------------------------------|-------|---------|
| (Reinforcing, and Ornamental)\$ | 37.89 | 20.10 |
| | | |

LABO0001-016 06/01/2009

ZONE 1:

| | Rates | Fringes |
|--|----------------------|------------------------------|
| Laborers: GROUP 2 GROUP 3 GROUP 4 GROUP 5 | \$ 30.96 \$ 31.70 | 9.07 9.07 9.07 9.07 |
| ZONE DIFFERENTIAL (ADD TO ZONE 1 ZONE 2 - \$1.00 ZONE 3 - \$1.30 | RATES): | |
| BASE POINTS: BELLINGHAM, MT. V TACOMA, OLYMPIA, CENTRALIA, AF TOWNSEND, PT. ANGELES, AND BRE | BERDEEN, SHELTON, | |
| ZONE 1 - Projects within 25 racity hall ZONE 2 - More than 25 but less respective city hall ZONE 3 - More than 45 radius radiu | s than 45 radius | miles from the |
| LABORERS CLASSIFICATIONS | | |
| GROUP 2: Flagman | | |
| GROUP 3: General Laborer; Mas Chipping Gun (under 30 lbs.); | | |
| GROUP 4: Chipping Gun (over 3 Grade Checker; Gunite; Pipe La | | |
| GROUP 5: Mason Tender-Brick | | |
| PAIN0005-029 07/01/2011 | | |
| | Rates | Fringes |
| DRYWALL FINISHER/TAPER | \$ 33.87 | 14.32 |
| PAIN0005-030 07/01/2010 | | |
| | Rates | Fringes |
| Painters: Parking Lot and Highway Striping Only | \$ 27.74 | 11.66 |
| PAIN0005-031 07/01/2011 | | |
| | Rates | Fringes |
| PAINTER (Including Brush, Roller, Spray and Prep Work) | \$ 24.66 | 8.24 |
| * PAIN0188-005 07/01/2012 | | |

Rates Fringes

| GLAZIER | · · | 14.35 |
|---|-------------|----------------|
| * PAIN1238-002 07/01/2012 | | |
| | Rates | Fringes |
| SOFT FLOOR LAYER (Including Vinyl and Carpet) | \$ 28.16 | 13.24 |
| PLAS0528-002 10/01/2011 | | |
| | Rates | Fringes |
| PLASTERER | \$ 32.50 | 14.13 |
| PLAS0528-004 06/01/2012 | | |
| | Rates | Fringes |
| CEMENT MASON/CONCRETE FINISH | HER\$ 35.88 | 14.25 |
| PLUM0032-009 06/01/2012 | | |
| | Rates | Fringes |
| PIPEFITTERPLUMBER (Including HVAC Pipe | | 17.43 |
| Installation) | \$ 49.71 | 20.68 17.43 |
| ROOF0054-008 06/01/2011 | | |
| | Rates | Fringes |
| ROOFER (Includes Roof Tear Off, Waterproofing, and Installation of Metal Roofs) |)\$ 31.57 | 12.08 |
| SFWA0699-006 01/01/2012 | | |
| | Rates | Fringes |
| SPRINKLER FITTER (Fire Sprinklers) | \$ 45.22 | 14.02 |
| SHEE0066-024 01/01/2012 | | |
| | Rates | Fringes |
| Sheet Metal Worker (Including HVAC Duct Work and | | 22.01 |
| Installation of HVAC SystemsSUWA2009-024 05/22/2009 | -/γ ±±.±± | |
| SUMA2007 021 03/22/2009 | Rates | Fringes |
| LABORER: Driller | | 5.36 |
| | | |
| LABORER: Irrigation | β 11.58 | 0.00 |

| LABORER: Landscape\$ 9.73 | 0.00 |
|---|------|
| LABORER: Overhead Door Installation\$ 22.31 | 3.44 |
| OPERATOR: Backhoe\$ 29.95 | 7.20 |
| OPERATOR: Mechanic\$ 24.33 | 4.33 |
| ROOFER: Metal Roof\$ 24.30 | 4.05 |
| TILE SETTER\$ 18.72 | 3.35 |
| TRUCK DRIVER: Dump Truck\$ 27.43 | 0.00 |
| | |

^{*} TEAM0174-005 01/01/2012

| | | Rates | | Fringes | | | |
|---|---|-------|--|---------|--|--|--|
| | | | | | | | |
| _ | - | | | | | | |

Truck drivers:

ZONE A:

GROUP 2:.....\$ 30.89 16.08

ZONE B (25-45 miles from center of listed cities*): Add \$.70 per hour to Zone A rates.

ZONE C (over 45 miles from centr of listed cities*): Add \$1.00 per hour to Zone A rates.

*Zone pay will be calculated from the city center of the following listed cities:

| BELLINGHAM | CENTRALIA | RAYMOND | OLYMPIA |
|------------|---------------|------------|-----------|
| EVERETT | SHELTON | ANACORTES | BELLEVUE |
| SEATTLE | PORT ANGELES | MT. VERNON | KENT |
| TACOMA | PORT TOWNSEND | ABERDEEN | BREMERTON |

TRUCK DRIVERS CLASSIFICATIONS

GROUP 2 - Semi-Trailer Truck

HAZMAT PROJECTS

Anyone working on a HAZMAT job, where HAZMAT certification is required, shall be compensated as a premium, in addition to the classification working in as follows:

LEVEL C: +\$.25 per hour - This level uses an air purifying respirator or additional protective clothing.

LEVEL B: +\$.50 per hour - Uses same respirator protection as Level A. Supplied air line is provided in conjunction with a chemical "splash suit."

LEVEL A: +\$.75 per hour - This level utilizes a fully-encapsulated suit with a self-contained breathing apparatus or a supplied air line.

WELDERS - Receive rate prescribed for craft performing operation to which welding is incidental.

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29CFR 5.5 (a) (1) (ii)).

The body of each wage determination lists the classification and wage rates that have been found to be prevailing for the cited type(s) of construction in the area covered by the wage determination. The classifications are listed in alphabetical order of "identifiers" that indicate whether the particular rate is union or non-union.

Union Identifiers

An identifier enclosed in dotted lines beginning with characters other than "SU" denotes that the union classification and rate have found to be prevailing for that classification. Example: PLUM0198-005 07/01/2011. The first four letters, PLUM, indicate the international union and the four-digit number, 0198, that follows indicates the local union number or district council number where applicable, i.e., Plumbers Local 0198. The next number, 005 in the example, is an internal number used in processing the wage determination. The date, 07/01/2011, following these characters is the effective date of the most current negotiated rate/collective bargaining agreement which would be July 1, 2011 in the above example.

Union prevailing wage rates will be updated to reflect any changes in the collective bargaining agreements governing the rate.

Non-Union Identifiers

Classifications listed under an "SU" identifier were derived from survey data by computing average rates and are not union rates; however, the data used in computing these rates may include both union and non-union data. Example: SULA2004-007 5/13/2010. SU indicates the rates are not union rates, LA indicates the State of Louisiana; 2004 is the year of the survey; and 007 is an internal number used in producing the wage determination. A 1993 or later date, 5/13/2010, indicates the classifications and rates under that identifier were issued as a General Wage Determination on that date.

Survey wage rates will remain in effect and will not change until a new survey is conducted.

WAGE DETERMINATION APPEALS PROCESS

- 1.) Has there been an initial decision in the matter? This can be:
- * an existing published wage determination

- * a survey underlying a wage determination
- * a Wage and Hour Division letter setting forth a position on a wage determination matter
- * a conformance (additional classification and rate) ruling

On survey related matters, initial contact, including requests for summaries of surveys, should be with the Wage and Hour Regional Office for the area in which the survey was conducted because those Regional Offices have responsibility for the Davis-Bacon survey program. If the response from this initial contact is not satisfactory, then the process described in 2.) and 3.) should be followed.

With regard to any other matter not yet ripe for the formal process described here, initial contact should be with the Branch of Construction Wage Determinations. Write to:

Branch of Construction Wage Determinations Wage and Hour Division U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, DC 20210

2.) If the answer to the question in 1.) is yes, then an interested party (those affected by the action) can request review and reconsideration from the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR Part 7). Write to:

Wage and Hour Administrator U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, DC 20210

The request should be accompanied by a full statement of the interested party's position and by any information (wage payment data, project description, area practice material, etc.) that the requestor considers relevant to the issue.

3.) If the decision of the Administrator is not favorable, an interested party may appeal directly to the Administrative Review Board (formerly the Wage Appeals Board). Write to:

Administrative Review Board U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, DC 20210

4.) All decisions by the Administrative Review Board are final.

END OF GENERAL DECISION

ATTACHMENT B

Customer Satisfaction Survey (CSS)

CUSTOMER SATISFACTION SURVEY (PAGE 1 OF 2)

Chiller control panel replacement on all 4 chillers at the Seattle Air Route Traffic Control Center DTFANM-12-R-00143

| SECTION 1 TO BE COMPLETED BY THE OFFEROR AND PROVIDED TO THE | CUSTOMER REFERENCE |
|--|--------------------|
| Name of Firm Being Evaluated: | |
| Project Title & Location: | - |
| Project Dollar Value: | - |
| Year Completed: Project Manager: | |

SECTION 2 -- TO BE COMPLETED BY THE CUSTOMER REFERENCE AND MAILED, HAND-DELIVERED DIRECTLY TO: Forms submitted by other than the customer (i.e., by the offeror), will not be considered.

MATT.BENBOW@FAA.GOV

OVERVIEW: The firm shown above has submitted a proposal on a Federal Aviation Administration solicitation and provided your name as a customer reference. Part of our evaluation process requires information on the firm's past performance. Your input is important to us and responses are required by (date & time) for inclusion in this evaluation. Your assistance is greatly appreciated.

In blocks below, please indicate your overall level of satisfaction with work performed by the firm shown in Section 1. Mark *Not Applicable* (N/A) for any areas that do not apply. Provide any additional comments on page 2.

| | On this project, the firm: | Satisfaction | | | | | | |
|-----|---|--------------|-----|---|----|------|-------|--|
| | | Low | | | F | Iigl | n N/A | |
| 1. | Kept You Informed & Treated You as Important Member of the Team | 1 | 2 | 3 | 4 | 5 | N/A | |
| 2. | Displayed Flexibility in Responding to Your Needs | 1 | 2 | 3 | 4 | 5 | N/A | |
| 3. | Displayed Initiative in Problem Solving | 1 | 2 | 3 | 4 | 5 | N/A | |
| 4. | Resolved Your Concerns | 1 | 2 | 3 | 4 | 5 | N/A | |
| 5. | Completed Your Project Milestones on Time | 1 | 2 | 3 | 4 | 5 | N/A | |
| 6. | Managed the Project Effectively (including adequate Cost Controls) | 1 | 2 | 3 | 4 | 5 | N/A | |
| 7. | Managed their Work Force Effectively (including Subcontractors) | 1 | 2 | 3 | 4 | 5 | N/A | |
| 8. | Maintained an Effective Quality Control Program | 1 | 2 | 3 | 4 | 5 | N/A | |
| 9. | Provided Warranty Support | 1 | 2 | 3 | 4 | 5 | N/A | |
| 10. | Maintained Operational Continuity at Existing Facility During Project | 1 | 2 | 3 | 4 | 5 | N/A | |
| 11. | Minimized Adverse Construction Impacts on Ongoing Operations | 1 | 2 | 3 | 4 | 5 | N/A | |
| 12. | Your OVERALL Level of Customer Satisfaction | 1 | 2 | 3 | 4 | 5 | N/A | |
| 13. | Was payment withheld or liquidated damages assessed? (If yes, please describe below). | | Yes | | No | 1 | N/A | |

CUSTOMER SATISFACTION SURVEY (PAGE 2 OF 2) Chiller control panel replacement on all 4 chillers at the Seattle Air Route Traffic Control Center DTFANM-12-R-00143 14. REMARKS: (Discuss strengths and weaknesses of the firm) Your Name: _____ Phone Number: _____ Firm Name: _____

Relationship to this Project:

<u>ATTACHMENT C –</u> <u>SPECIFICATIONS</u>